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Íngia













## What is numerical algebraic geometry?

How to do effective complex algebraic geometry?

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How to do effective complex algebraic geometry?

*algebraic side* polynomial rings, polynomial ideals, symbolic algorithms (Gröbner bases, regular chains)

*arithmetic side* reduction modulo *p*, *p*-adic numbers, Frobenius structures

*geometric side* complex points, numerical approximations, numerical algorithms (path tracking)



#### What is numerical algebraic geometry?



#### ARTICLE INFO

#### MSC: 65H10 68W30 14099 Keywords: Witness set Generic point Homotopy continuation Cascade homotopy Irreducible component Multiplicity Numerical algebraic geometry Polynomial system Numerical irreducible decomposition Primary decomposition Algebraic set Algebraic variety Number field

#### ABSTRACT

The foundation of algebraic geometry is the solving of systems of polynomial equations. When the equations to be considered are defined over a subfield of the complex numbers, numerical methods can be used to perform algebraic geometry. This article provides a short introduction to numerical algebraic geometry with the subsequent articles in this special issue considering three current research topics: solving structured systems, certifying the results of numerical computations, and performing algebraic computations numerically via Macaulay dual spaces.

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(Jonathan D. Hauenstein, Andrew J. Sommese)

# A TRANSCENDENTAL METHOD IN ALGEBRAIC GEOMETRY

#### by Phillip A. GRIFFITHS

#### 1. Introduction and an example from curves.

It is well known that the basic objects of algebraic geometry, the smooth projective varieties, depend continuously on parameters as well as having the usual discrete invariants such as homotopy and homology groups. What I shall attempt here is to outline a procedure for measuring this continuous variation of structure. This method uses the periods of suitably defined rational differential forms to construct an intrinsic " continuous " invariant of arbitrary smooth projective varieties. The original aim in defining this " period matrix " of an algebraic variety was to give, at least in some cases, a complete invariant (i. e. " moduli ") of the algebraic structure, as turns out to happen for curves. It is too soon to evaluate the success of this program, but a few interesting things have turned up, and there remain very many attractive unsolved problems. In presenting this talk, I shall not give references as these, together with a more detailed discussion of the material discussed, may be found in my survey paper which appeared in the March (1970) Bulletin of the American Mathematical Society.

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- + seminumerical methods for solving linear ODEs high-precision numerical solving, higher-order methods required
- + effective algebraic topology to know where to integrate
- + integer relation algorithm (LLL, PSLQ, HJLS)

Explain on two examples:

- \* how to compute periods with high precision,
- \* how to solve a concrete algebraic problem with them.

1. Introduction

#### 2. Periods and differential equations

3. Perimeter of an ellipse

4. The 2 periods of an elliptic curve

5. The 22 periods of a quartic surface

X complex algebraic variety manifold of dimension n



\* boils down to a *n*-fold integral of an algebraic function

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- $\mathbb{S}$  contains information about the geometry of X

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- \* boils down to a *n*-fold integral of an algebraic function
- contains information about the geometry of X
  often not computable exactly, need hundreds or thousands of digits
  in this regime, direct numerical recipes do not work well

$$X = \big\{ (t,s) \in \mathbb{C}^2 \ \big| \ t^2 + s^2 = 1 \big\}, \quad s = \pm \sqrt{1 - t^2}$$

$$\sin\left(\int_0^u \frac{\mathrm{d}t}{\sqrt{1-t^2}}\right) = u$$

$$-1$$
  $0$   $u$   $1$   $\bullet$   $\bullet$   $\bullet$ 

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$$\sin\left(\underbrace{\int_{\gamma} \frac{\mathrm{d}t}{\sqrt{1-t^2}}}_{\text{period!}} + z\right) = \sin\left(z\right)$$



#### SUR LES RÉSIDUS DES INTÉGRALES DOUBLES

PAR

#### H. POINCARÉ

à PARIS.

L'intégrale envisagée par M. PICARD est alors:

 $\int_{u_1}^{u_1} \frac{dv}{dv} \int_{u_1}^{v_1} \frac{dv}{dv} \, \Phi(u, v) \left(\frac{d\varphi}{du}\frac{d\psi}{dv} - \frac{d\varphi}{dv}\frac{d\psi}{du}\right) \cdot$ 

M. PICARD a donné à ces intégrales le nom de périodes; je ne saurais l'en blàmer puisque cette dénomination lui a permis d'exprimer dans un langage plus concis les intéressants résultats auxquels il est parvenu. Mais je crois qu'il serait fàcheux qu'elle s'introduisit définitivement dans la science et qu'elle serait propre à engendrer de nombreuses confusions.

"M. Picard gave these integrals the name of periods; I cannot blame him since this name allowed him to express in more concise language the interesting results he achieved. But I believe that it would be unfortunate if it were definitively introduced into science and that it would be likely to generate numerous confusions."

 $X_t$  a family of complex algebraic variety manifold of dimension n



algebraic differential *n*-form, rational in *t* 

continuously varying *n*-cycle

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 $\mathbb{P}$  computable exactly, up to finitely many constants

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- 💡 computable exactly, up to finitely many constants
- 💡 symbolic integration

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 $\mathbb{S}$  contains information about the geometry of  $X_t$ 

- 💡 computable exactly, up to finitely many constants
- Symbolic integration

#### **Picard–Fuchs equations**

There are polynomials  $p_0(t), \ldots, p_r(t) \neq 0$  such that

$$p_r(t)\alpha^{(r)}(t)+\cdots+p_1(t)\alpha'(t)+p_0(t)\alpha(t)=0.$$
# High precision numerical integration of linear ODEs

#### Theorem (Chudnovsky and Chudnovsky, 1990)

#### Consider

- \* a linear ODE (\*)  $p_r(t)y^{(r)}(t) + \dots + p_1(t)y'(t) + p_0(t)y(t) = 0$
- \* a path  $\gamma : [0,1] \rightarrow \mathbb{C} \setminus zeros(p_r)$
- \* *initial condition*  $u_0, \ldots, u_{r-1} \in \mathbb{C}$

Then we can compute  $y(\gamma_1)$ , up to precision  $2^{-p}$ , where y is the unique solution of (\*) such that  $y^{(i)}(\gamma_0) = u_i$  ( $0 \le i < r$ ), analytically continued along  $\gamma$ .

#### Moreover:

- \* The error bound is explicit
- \* As  $p \to \infty$  (everything else is fixed), the algorithm runs in time  $\tilde{O}(p)$ .

See also van der Hoeven (1999) and Mezzarobba (2010).

# High precision numerical integration (variant)

#### Corollary

In the same context, we can compute  $\int_{\mathcal{V}} y(z) dz$ , up to precision  $2^{-p}$ .

#### Moreover:

- \* The error bound is explicit
- \* As  $p \to \infty$  (everything else is fixed), the algorithm runs in time  $\tilde{O}(p)$ .

Proof. Apply the theorem to the differential equation

$$p_{r}(t)I^{(r+1)}(t) + \dots + p_{1}(t)I''(t) + p_{0}(t)I'(t) = 0$$
  
of which  $I(t) = \int_{\gamma_{0}}^{t} y(z)dz$  is solution.

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#### Theorem (Liouville, 1834)

#### E(t) is transcendental.

It is not even expressible in terms of elementary functions.

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$$F(t, x) = \sqrt{\frac{1 - t^2 x^2}{1 - x^2}}$$
, so that  $E(t) = \int_{Y} F(t, x) dx$ .

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Symbolic integration provides algorithms for finding the magical relation (\*). Keywords: creative telescoping, D-module integration. (Chyzak, 2000; Koutschan, 2010; Oaku & Takayama, 2001; Lairez, 2016; Chen, van Hoeij, Kauers, & Koutschan, 2018; Bostan, Chyzak, Lairez, & Salvy, 2018)

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- \* Many implementations

#### **Gauss quadrature**

Let f be a multivalued analytic function on the complex plane.

$$\int_{\gamma} f(x) \mathrm{d}x = \sum_{i=1}^{N} w_i f(x_i) + O(C^{-N}),$$

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for a suitable choice of  $w_i$  and  $x_i \in (-1, 1)$ .

\* Effective error bounds

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- \* Complexity  $\tilde{O}(N^2)$  for computing the  $w_i$  and the  $x_i$
- \* Needs evaluation of f at precision  $C^{-N}$  at N points
- → For *k*-fold integrals, this leads to a  $\tilde{O}(N^{k+1})$  total complexity for computing *N* digits.

Goal: Compute  $E(\frac{1}{2})$ 

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Transcendental continuation, **outer** variant

1. We know the differential equation  $(t - t^3)E'' + (1 - t^2)E' + tE = 0$ .

Goal: Compute  $E(\frac{1}{2})$ 

Transcendental continuation, outer variant

1. We know the differential equation  $(t - t^3)E'' + (1 - t^2)E' + tE = 0$ .

2. We compute easily that  $E(t) = 2\pi - \frac{\pi}{2}t^2 + O(t^4)$ .

Goal: Compute  $E(\frac{1}{2})$ 

- 1. We know the differential equation  $(t t^3)E'' + (1 t^2)E' + tE = 0$ .
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- 3. Apply the continuation algorithm to compute  $E(\frac{1}{2})$ .

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  - $\mathbb{P}$  Quasi-linear complexity with respect to precision.

Goal: Compute  $E(\frac{1}{2})$ 

Let 
$$R(t) = \sqrt{\frac{1 - \frac{1}{4}x^2}{1 - x^2}}$$
, so that  $E(\frac{1}{2}) = \int_{Y} R(x) dx$ .

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Transcendental continuation, **inner** variant

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Transcendental continuation, **inner** variant

Let 
$$R(t) = \sqrt{\frac{1 - \frac{1}{4}x^2}{1 - x^2}}$$
, so that  $E(\frac{1}{2}) = \int_{\gamma} R(x) dx$ .

- 1. We compute easily  $R(x) = 1 + O(x^2)$ .
- 2. We know the differential equation  $(x^4 5x^2 + 4)R'(x) 3xR(x) = 0$ .
- 3. Apply the continuation algorithm along  $\gamma$  to compute  $E(\frac{1}{2})$ .
- \* This is the "inner" method because to compute  $E(\frac{1}{2})$  we work on ellipse, we don't deform the ellipse.
- $\mathbb{P}$  Initial conditions are simpler than what we want to compute.
- $\mathbf{A}$  Needs more geometry, we need to figure out explicitely  $\gamma$ .
- **Quasi-linear complexity with respect to precision.**

### 🔅 Demo!

# Wrap up

- \* Transcendental functions arise from algebraic varieties and  $\int$
- \* We can compute differential equations for integrals with a parameter
- \* We can compute numerically integrals (without parameter):
  - by the outer method, which introduces a parameter in the integral,
  - by the inner method, which uses the first integration variable as the parameter.
- \* We can compute to large precision thanks to quasilinear complexity.
1. Introduction

2. Periods and differential equations

3. Perimeter of an ellipse

#### 4. The 2 periods of an elliptic curve

5. The 22 periods of a quartic surface

# The endomorphism ring of an elliptic curve

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- \* End(*X*) contains at least all the maps  $p \in X \mapsto np$  with  $n \in \mathbb{Z}$ .

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**Problem** 

Is  $\operatorname{End}(X)$  nontrivial ( $\neq \mathbb{Z}$ )?

#### Theorem

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The set for all  $a, b \in \mathbb{C}^2$  such that the curve  $X = \{y^2 = x^3 + ax + b\}$  has a nontrivial endomorphism is the union of countably many curves in  $\mathbb{C}^2$ .

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- \* The problem does not reduce directly to polynomial system solving.
- \* Most elliptic curves does not have a nontrivial endomorphism.
- \* But elliptic curves with a nontrivial endomorphism are *dense*!
- \* See Cremona and Sutherland (2023) for a recent progress on the question (algebraic approach).

- \* There a meromorphic map  $\wp : \mathbb{C} \to \mathbb{C}$ , *Weierstrass' function*, such that  $z \to (\wp(z), \wp'(z))$  is a sujective group homomorphism.
- \* It induces an isomorphism  $X \simeq \mathbb{C}/\Lambda$ , with  $\Lambda = \mathbb{Z} \alpha_1 + \mathbb{Z} \alpha_2$ .  $\alpha_1$  and  $\alpha_2$  are the *periods* of  $\wp$ .

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- \* The analytic endomorphisms of  $\mathbb{C}$  are the maps  $z \mapsto uz$ , for  $u \in \mathbb{C}$ .

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 $\operatorname{End}(X) \simeq \{ u \in \mathbb{C} \mid u\Lambda \subseteq \Lambda \}.$ 

#### Corollary

End(X) is nontrivial if and only if the equation

$$b\,\alpha_2^2 + a\,\alpha_1\alpha_2 - c\,\alpha_1^2 = 0.$$

has a nonzero solution,  $a, b, c \in \mathbb{Z}$ .



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Impossible question, but good practical answer: lattice reduction.

Recall that  $\wp : \mathbb{C} \to \mathbb{C}$  is Weierstrass' functions and  $(\wp(z), \wp'(z)) \in X$ , that is

 $\wp'(z)^2 = \wp(z)^3 + a\wp(z) + b.$ 

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It follows that

$$\wp\left(\int_0^u \frac{\mathrm{d}x}{\sqrt{x^3 + ax + b}}\right) = u.$$

(Does it remind you of something?)



$$\alpha_i = \int_{\gamma_i} \frac{\mathrm{d}x}{\sqrt{x^3 + ax + b}}$$







\* Possibility to certify *a posteriori* (e.g. Costa, Mascot, Sijsling, & Voight, 2019), at the cost of simplicity of course

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### **Curves on a surface**

Let  $f \in \mathbb{C}[w, x, y, z]_4 \simeq \mathbb{C}^{35}$ such that  $X = V(f) \subseteq \mathbb{P}^3$  is smooth.

- \* X contains algebraic curves.
- \* *Trivial* curves are those obtained by intersecting *X* with another surface. (Every curve is included in the intersection with another surface, but may not be equal.)

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#### Noether-Lefschetz theorem (Lefschetz, 1924)

Let  $f \in \mathbb{C}[w, x, y, z]_4 \setminus (\text{countable union of algebraic hypersurfaces})$ . Then  $X_f$  contains only trivial curves.

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Theorem (Lairez & Sertöz, 2019)

Let  $f = wx^3 + w^3y + xz^3 + y^4 + z^4$ . Then  $X_f$  contains only trivial curves.
### Nature of the problem

Reduction to countably many polynomial systems.

{lines in *X*} = { $(u, v) \in (\mathbb{C}^4)^2$  |  $u \land v \neq 0$  and  $\forall t, f(u + tv) = 0$ } /~ {conic curves in *X*} = { $(u, v, w) \in (\mathbb{C}^4)^3$  |  $u \wedge v \wedge w \neq 0$  and  $\forall t, f(u + tv + t^2w) = 0 \} / \sim$ {twisted cubics in *X*} = { $(u_0, ..., u_3) \in (\mathbb{C}^4)^4$  |  $u_0 \wedge \cdots \wedge u_3 \neq 0 \text{ and } \forall t, f\left(\sum_{i=1}^{3} u_i t^i\right) = 0 \} / \sim$ {deg. 4 gen. 1 c. in *X*} = { $(g_1, g_2, h_1, h_2) \in (\mathbb{C}[\mathbf{x}]_2)^4$  |  $g_1$  and  $g_2$  generic and  $f = h_1g_1 + h_2g_2$   $/\sim$ 

## The structure of curves on a surface

Let *X* be a smooth quartic complex surface.

Consider the 2nd singular homology group of *X*:

$$H_2(X,\mathbb{Z}) = \frac{\text{sum of triangles in } X \text{ with no boundary}}{\text{sum of boundaries of 3-simplices in } X} \simeq \mathbb{Z}^{22}.$$

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### Periods of a quartic surface

Let  $f \in \mathbb{C}[w, x, y, z]_4 \simeq \mathbb{C}^{35}$ such that  $X = V(f) \subseteq \mathbb{P}^3$  is smooth.

Let  $\gamma_1, \ldots, \gamma_{22}$  be a basis of  $H_2(X, \mathbb{Z})$ , and let  $\omega_X \in \Omega^2(X)$  be the unique holomorphic 2-form on *X*.

The *periods* of *X* are the complex numbers  $\alpha_1, \ldots, \alpha_{22}$  defined – up to scaling and choice of basis – by

$$\alpha_i \stackrel{\text{def}}{=} \oint_{\gamma_i} \omega_X = \frac{1}{2\pi i} \oint_{\text{Tube}(\gamma_i)} \frac{dxdydz}{f|_{w=1}}$$

## Periods determine the Néron-Severi group

The Néron-Severi group of X (a smooth quartic surface) is the sublattice of  $H_2(X, \mathbb{Z})$  generated by the classes of algebraic curves on X.

**Theorem (Lefschetz, 1924)** 

$$\mathrm{NS}(X) = \left\{ \gamma \in H_2(X, \mathbb{Z}) \ \middle| \ \int_{\gamma} \omega_X = 0 \right\}$$

In coordinates,  $NS(X) \simeq \{ \mathbf{u} \in \mathbb{Z}^{22} \mid u_1\alpha_1 + \cdots + u_{22}\alpha_{22} = 0 \}$ . This is the lattice of *integer relations between the periods*.

The NS group determine the possible degree and genus of all the algebraic curves lying on *X*.

### The Fermat hypersurface

$$\operatorname{rank} \operatorname{NS}(X_f) = 22 - \dim \operatorname{Vect}_{\mathbb{Q}} \{\operatorname{periods}\} = 20.$$

Indeed there are 48 lines on  $X_f$  spanning a sublattice of  $H_2(X, \mathbb{Z})$  of rank 20.

Let  $f \in \mathbb{C}[w, x, y, z]_4$ and let  $f_t = (1 - t)f + t(w^4 + x^4 + y^4 + z^4) \in \mathbb{C}(t)[w, x, y, z]_4$ .

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Afflicted by the size of the PF equation (generically order 21 and degree  $\geq$  1000), the algorithm does not always terminate in reasonnable time.

We have the periods  $\alpha_1, \ldots, \alpha_{22}$  with high precision (hundreds of digits); we want a basis of

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2. Let 
$$L = \left\{ (\mathbf{u}, x, y) \in \mathbb{Z}^{22+2} \mid \sum_{i} u_i [10^{1000} \alpha_i] = x + y\sqrt{-1} \right\},\$$

this is a rank 22 lattice. Short vectors are expected to come from integer relations between the periods.

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this is a rank 22 lattice. Short vectors are expected to come from integer relations between the periods.

- 3. Compute a LLL-reduced basis of L
- 4. Output the *short* vectors

### What is a short vector?

Let  $f = 3x^3z - 2x^2y^2 + xz^3 - 8y^4 - 8w^4$ . With 100 digits of precision on the periods, here is a LLL-reduced basis of the lattice *L* (last 5 columns omitted).

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#### Lemma

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- 3 There is a rare numerical coincidence.

I do not know how to deal with 2, there are quartic surfaces with NS group minimaly generated by arbitrary large elements (Mori, 1984).

But we can do something about 3.

### **Separation of periods**

Let  $f \in \mathbb{Q}[w, x, y, z]_4$ and let  $\alpha_1, \dots, \alpha_{22}$  be the periods.

#### Theorem (Lairez & Sertöz, 2022)

There exist a computable constant c > 0 depending only on f and the choice of the homology basis, such that for any  $\mathbf{u} \in \mathbb{Z}^{22}$ ,

$$|u_1\alpha_1 + \cdots + u_{22}\alpha_{22}| < 2^{-c^{\max_i |u_i|^9}} \Rightarrow u_1\alpha_1 + \cdots + u_{22}\alpha_{22} = 0.$$

# An inner method for computing periods?

- \* Sertöz' algorithm is very indirect.
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- \* That's a *double* integral.
- \* How do we get  $\gamma_i$ ? How do we compute a basis of the singular homology group  $H_2(X)$ ?

# Double integrals via Fubini

- \*  $f \in \mathbb{C}[w, x, y, z]_4$  (generic coordinates)
- \*  $X \triangleq V(f) \subseteq \mathbb{P}^3(\mathbb{C})$
- \*  $X_t \triangleq X \cap \left\{\frac{w}{x} = t\right\}$  (hyperplane section)
- **?** Consider the surface as a family of curves

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### Main idea

$$\int_{\gamma} \omega_X = \oint_{\text{loop in } \mathbb{C}} \underbrace{\oint_{\text{cycle in } X_t} \frac{\omega_X}{dt}}_{\text{satisfies a Picard-Fuchs equation!}}$$

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Requires a concrete description of  $\gamma$  to be implemented. We need to *compute*  $H_2(X, \mathbb{Z})$ 

# The homology of curves (Tretkoff & Tretkoff, 1984)

- \* X a complex algebraic curve
- $* p: X \to \mathbb{P}^1(\mathbb{C})$  nonconstant map
- \*  $\Sigma \triangleq \{ \text{critical values} \}$
- \* Given a loop in  $\mathbb{P}^1(\mathbb{C}) \setminus \Sigma$ , starting from a base point *b*, and a point in the fiber  $p^{-1}(b)$ , the loop lifts in *X* uniquely.
- Compute loops in  $\mathbb{P}^1(\mathbb{C})$  that lift in a basis of  $H_1(X,\mathbb{Z})$

(Deconinck & van Hoeij, 2001; Costa, Mascot, Sijsling, & Voight, 2019)

### Principle of the method



- 1. compute pieces of paths in *X* by lifting loops
- 2. connect them to form loops

# Homology of surfaces

	dimension 1	dimension 2					
monodromy action lift in <i>X</i> computable with	permute the fiber path path tracking	linear action on $H_1(X)$ hosepipe numerical ODE solving					
p <sup>-1</sup> (b)	p <sup>-1</sup> (b) v C						

# Homology of surface from the monodromy

- \* X a complex algebraic curve
- $* p: X \to \mathbb{P}^1(\mathbb{C})$  nonconstant map, define  $X_t = p^{-1}(t)$
- \*  $\Sigma \triangleq \{ \text{critical values} \}$
- \* Given a loop  $\gamma$  in  $\mathbb{P}^1 \setminus \Sigma$  starting from a base point b, and a cycle  $c \in H_1(X_b)$ , the cycle deforms as t runs along  $\gamma$ .
- \* This defines the monodromy action  $\gamma_* : H_1(X_b) \to H_1(X_b)$ .
- Compute the monodromy action of generators or  $\pi_1(\mathbb{P}^1 \setminus \Sigma)$  to construct elements of  $H_2(X)$ .

(Lefschetz, 1924; Lamotke, 1981; Lairez, Pichon-Pharabod, & Vanhove, 2024; Pichon-Pharabod, 2024)
# Monodromy computation in higher dimension

### **De Rham duality**

The monodromy action on  $H_1(X_t)$  is dual to the monodromy action on the solution of the Picard–Fuchs equation of the periods of  $X_t$ .

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# Thank you!

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